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PTO/SB/21 (09-04)

# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/789,807
Filing Date	February 27, 2004
First Named Inventor	Tjoa, Benjamin
Art Unit	1644
Examiner Name	Juedes, Amy E.
Attorney Docket Number	020093-003710US

## ENCLOSURES (Check all that apply)

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Second Supplemental Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Form PTO/SB/08A (1 pg.);<br>Form PTO/SB/08B (1 pg.);<br>Supplementary EP Search Report (3 pgs.);<br>References AB and AC (2); and<br>Return Postcard |
|---|--|---|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Townsend and Townsend and Crew LLP

Signature

Printed name Brian W. Poor

Date February 16, 2006

Reg. No. 32,928

## CERTIFICATE OF TRANSMISSION/MAILING

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By: Jmg



Attorney Docket No.: 020093-003710US PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Benjamin Tjoa *et al.*

Application No.: 10/789,807

Filed: February 27, 2004

For: GENERATION OF DENDRITIC  
CELLS FROM MONOCYTIC  
DENDRITIC PRECURSOR  
CELLS WITH GM-CSF IN THE  
ABSENCE OF ADDITIONAL  
CYTOKINES

Customer No.: 20350

Confirmation No.: 5631

Examiner: Juedes, Amy E.

Art Unit: 1644

**SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT UNDER  
37 CFR §1.97 and §1.98**

Commissioner for Patents  
Mail Stop Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited in the Supplementary European Search Report cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner.

Copies of references in compliance with the requirements of 37 CFR §1.98(a)(2) which have not been previously cited are enclosed.

Also enclosed is a copy of the Supplementary Search Report corresponding to the European application.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: 16 February 2006

By: Brian W. Poor  
Brian W. Poor  
Reg. No. 32,928

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Fax: 415-576-0300  
BWP:mmm



<b>Substitute for form 1449-PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/789,807		
		Filing Date	February 27, 2004		
		First Named Inventor	Tjoa, Benjamin		
		Art Unit	1644		
		Examiner Name	Juedes, Amy E.		
Sheet	1	of	2	Attorney Docket Number	020093-003710US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-2002/160430	10-31-2002	Steinman et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/789,807
				Filing Date	February 27, 2004
				First Named Inventor	Tjoa, Benjamin
				Art Unit	1644
				Examiner Name	Juedes, Amy E.
Sheet	2	of	2	Attorney Docket Number	020093-003710US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AB	BOSCH, M., <i>et al.</i> , "IL-4 is not required for the generation and function of dendritic cells from non-activated monocytes," <i>FASEB J.</i> , [Abstract] 17:C125 (April 14, 2003).	<input type="checkbox"/>
	AC	LUTZ, M., <i>et al.</i> , "An advanced culture method for generating large quantities of highly pure dendritic cells from mouse bone marrow," <i>J. Immunol. Methods</i> , 223:77-92 (February 1, 1999).	<input type="checkbox"/>

Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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